

Docket No. YAMAP0495USF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant: Kousei Sano et al.  
Serial No.: Unknown  
Filed: Herewith  
For: OPTICAL HEAD DEVICE, INCLINATION DETECTION APPARATUS USING THE SAME, AND  
OPTICAL INFORMATION PROCESSING APPARATUS USING THE SAME  
Art Unit: Unknown  
Examiner: Unknown

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, pending applications, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.: \_\_\_\_\_  
Filing Date: \_\_\_\_\_

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

(a) ☐ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.

(b) ☐ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

(a) ☒ Within 3 months of the filing date, date of entry into the National Stage, or filing date of CPA.

(b) ☐ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988 for consideration of this Statement.

- (c) \_\_\_ Before the mailing date of a first Office Action on the merits after a first or second submission after final rejection under 37 C.F.R. 1.129(a).
- (d) \_\_\_ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
- (1) \_\_\_ The required certification is given below, or
- (2) \_\_\_ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
- (3) \_\_\_ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988
- (e) \_\_\_ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
- (1) \_\_\_ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p), or
- (2) \_\_\_ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988.

4. Certification (if applicable)

- (a) \_\_\_ The undersigned hereby certifies that each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
- (b) \_\_\_ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 18-0988.

Respectfully submitted,

RENNER, OTTO, BOISSELLE & SKLAR, LLP

By 

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date: \_\_\_\_\_

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Form PTO-1449 (Modified)  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	Atty Docket No. <b>YAMAP0495USF</b>	Serial No. <b>Unknown</b>
	Applicant: <b>Kousei Sano et al.</b>	
	Filing Date <b>Herewith</b>	Group <b>Unknown</b>

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date if Appropriate
	3,530,258	9/22/1970	Gregg et al.			
	4,881,215	11/14/1989	Horie			
	4,949,331	8/14/1990	Maeda et al.			
	5,247,498	9/21/1993	Takekoshi et al.			
	5,302,834	4/12/1994	Murao			
	5,396,478	3/7/1995	Krantz			
	5,412,637	5/2/1995	Kagami et al.			
	5,442,615	8/15/1995	Ohsato et al.			
	5,475,667	12/12/1995	Kamimura et al.			
	5,508,995	4/16/1996	Moriya et al.			
	5,546,367	8/13/1996	Yoshimura et al.			
	5,657,303	8/12/1997	Namoto et al.			
	5,754,512	5/19/1998	Komma et al.			
	5,777,962	7/7/1998	Gardner et al.			
	5,805,543	9/8/1998	Takamine et al.			
	5,808,985	9/15/1998	Gerber et al.			
	5,828,637	10/27/1998	Kim			
	5,872,767	2/16/1999	Nagai et al.			
	5,898,654	4/27/1999	Shimada et al.			
	5,910,936	6/18/1999	Marino			
	6,078,554	6/20/2000	Ootaki et al.			
	6,314,068	11/6/2001	Sano et al.			
	6,327,231	12/4/2001	Sano et al.			
	6,418,095	7/9/2002	Sano et al.			
	6,418,107	7/9/2002	Sano et al.			
	6,438,091	8/20/2002	Nagata et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub-class	Partial Translation	
						Yes	No
	5-298731	11/12/1993	JP			X	
	6-180854	6/28/1994	JP			X	

## OTHER ART

Examiner Initial	Author, Title, Date, Pertinent Pages, etc.
	U.S. Patent Application Serial No. 09/288,304, filed April 8, 1999
	U.S. Patent Application No. Serial No. 09/761,072 filed January 15, 2001

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**Information Disclosure Statement PTO-1449 (Modified)**

The identification of any reference is not intended to be, and should not be understood as being, an admission that such publication, in fact, constitutes "prior art" within the meaning of applicable law since, for example, a given reference may have a later effective date than first seems apparent or the reference may have an effective date which can be antedated. The "prior art" status of any reference is a matter to be resolved during prosecution.

Z:\SEC154\MDS\YAMA\IP495us\ptoform.wpd (IDS1449.FRM) (2/97)